

## **HEART CAPITAL LLC'S PRIVACY POLICY**

(Please **keep for your records.**)

Heart Capital LLC collects nonpublic personal information about you from the following sources:

- Information we receive from you on applications, investment advisory agreements and other documents;
- Information you provide to us orally;
- Information about your transactions with us or others.

We do not disclose any nonpublic personal information about you to anyone except in the following circumstances: (1) when required to execute transactions for your account or otherwise to provide services you have requested; (2) when you have specifically authorized and directed us to do so in writing; or (3) when permitted or required by law,

If you decide to close your account(s) or become an inactive client, we will adhere to the privacy policies and practices as described in this notice.

Heart Capital LLC restricts access to your personal financial and account information to those employees who need to know that information to provide services to you. Heart Capital LLC maintains physical, electronic, and procedural safeguards to guard your nonpublic personal information.